

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of: Guillermo MOR

Application Serial No.: not yet assigned

Application Filed: concurrently

For: APOPTOSIS-BASED EVALUATION OF CHEMOSENSITIVITY IN CANCER
PATIENTS

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**INFORMATION DISCLOSURE STATEMENT
UNDER 37 C.F.R. §1.97(b)**

In accordance with the duty of disclosure set forth in 37 C.F.R. §1.56, Applicant(s) hereby submits the following information in conformance with 37 C.F.R. §§1.97 and 1.98.

- ☒ Pursuant to 37 C.F.R. §1.98, a copy of each non-US patent document cited in the attached Form PTO/SB/08 is enclosed.
- ☐ No copies of the publications listed on the attached Form PTO/SB/08 are being provided pursuant to 37 C.F.R. §1.98(d) because the publications were previously cited by or submitted to the Office in prior Application Serial No. _____ to which the above-identified application claims priority under 35 U.S.C. §120.
- ☐ No copies of any U.S. patents or U.S. patent application publications listed on the attached Form PTO/SB/08 are being provided pursuant to 37 C.F.R. §1.98.
- ☐ Publication(s) ___ listed on the attached Form PTO/SB/08 were cited in a foreign search or examination report corresponding to ___ application serial no. ___ and mailed on ___.
- ☐ Enclosed is a copy of a non-English publication(s) _____. Pursuant to §609 of the M.P.E.P., Applicant submits the attached foreign search or examination report, which cites such non-English language publication(s).
- ☐ Enclosed is a copy of a non-English publication(s) _____. English language publication ___ (copy enclosed) claims priority from this non-English publication.

- ☐ Enclosed is an explanation of non-English publication(s) ___ for which an English translation is not available.
- ☐ Enclosed is an English translation of non-English publication(s) cited in the attached Form PTO/SB/08.
- ☐ Enclosed is a copy of pending patent Application Serial No. ___.

This Information Disclosure Statement is filed within any one of the following time periods:

- ☐ within three months from the filing date of this national application other than a CPA under 37 C.F.R. § 1.53(d);
- ☒ within three months from the date of entry of the national stage as set forth in 37 C.F.R. § 1.491 in this international application;
- ☐ before the mailing date of a first office action on the merits; or
- ☐ before the mailing of a first office action after the filing of a request for continued examination under 37 C.F.R. § 1.114.

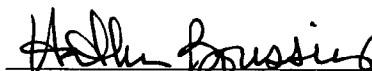
It is respectfully requested that the Examiner consider the above-noted information and return an initialed copy of the attached Form PTO/SB/08 to the undersigned.

Dated: May 22, 2006

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Respectfully submitted,
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Please type a plus sign (+) inside this box → +

AP20 Rec'd PCT/PTO 22 MAY 2006

PTO/SB/08A (07-05)
Approved for use through 07/31/2006. OMB 0651-0031

Substitute for form 1449A/PTO INFORMATION DISCLOSURE STATEMENT BY APPLICANT <i>(use as many sheets as necessary)</i>		Complete if Known <table border="1" style="width: 100%; border-collapse: collapse;"> <tr> <td style="width: 50%;">Application Number</td> <td style="width: 50%;">not yet assigned 10/580170</td> </tr> <tr> <td>Filing Date</td> <td>concurrently</td> </tr> <tr> <td>First Named Inventor</td> <td>Guillermo Mor</td> </tr> <tr> <td>Group Art Unit</td> <td>not yet assigned</td> </tr> <tr> <td>Examiner Name</td> <td>not yet assigned</td> </tr> <tr> <td>Attorney Docket Number</td> <td>YALE-106/00US</td> </tr> </table>		Application Number	not yet assigned 10/580170	Filing Date	concurrently	First Named Inventor	Guillermo Mor	Group Art Unit	not yet assigned	Examiner Name	not yet assigned	Attorney Docket Number	YALE-106/00US
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OTHER – NON PATENT LITERATURE DOCUMENTS			
Examiner Initials*	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T ²
/C.Y./	1	Ofir <i>et al.</i> , 2002, Taxol-Induced Apoptosis in Human SKOV3 Ovarian and MCF7 Breast Carcinoma Cells in Caspase-3 and Caspase-9 Independent, Cell Death and Differentiation, 9, 636-642.	
/C.Y./	2	Kolfschoten <i>et al.</i> , 2002, Time-Dependent Changes in Factors Involved in the Apoptosis Process in Human Ovarian Cancer Cells as a Response to Cisplatin, Gynecologic Oncology, 84, 404-412.	
/C.Y./	3	Saito <i>et al.</i> , 2004, Correlation Between Responsiveness of Neoadjuvant Chemotherapy and Apoptosis-Associated Proteins for Cervical Adenocarcinoma, Gynecologic Oncology, 92, 284-292.	

Examiner Signature	/Christopher Yaen/ (03/11/2009)	Date Considered	03/11/2009
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*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. ¹Unique citation designation number (optional). ²See attached Kinds of U.S. Patent Documents. ³Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁴For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. ⁶Applicant is to place a check mark here if English language Translation is attached.

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